Powerful KYC/AML screening & workflow management.

Balancing costs & risk is a tough act

Screening is a core pillar of all KYC/AML programs across the industry, but current screening services fail to combine access to comprehensive data with efficient workflow tools. Firms spend a significant amount of money on technology, services and resources, yet remain exposed to regulatory fines when clients are not forthcoming about their ultimate beneficial ownership structure. A failure to identify beneficial owners exposes a financial institution to significant economic repercussions as well as reputational risk. You can't screen what you don't know.

Critical data & flexible workflow tools

Bloomberg's Entity Intelligence solution directly addresses this risk by matching entity information across a series of data sources, including submitted records, linked corporate entities and associated C-level executives. Using verified third-party beneficial ownership information in the screening process results in better information to help meet increasingly stringent regulatory requirements.

How Bloomberg can help

- Submit multiple linked entities/individuals and receive a single consolidated report with results of screening for PEPs, sanctions, watch lists and adverse media
- Web-based, easy-to-navigate interface with no infrastructure cost
- Flexible and powerful workflow tools, including record assignments, dashboards and case management
- Robust audit trail
- Aggregated results and analyses
- Monitoring of alerts/issues

Key highlights

Comprehensive data sets

- 200M+ companies (99.9% private)
- 150M+ ownership links
- 275M+ unique positions
- 1.2M+ PEP profiles covering
- 240 countries

PEPS

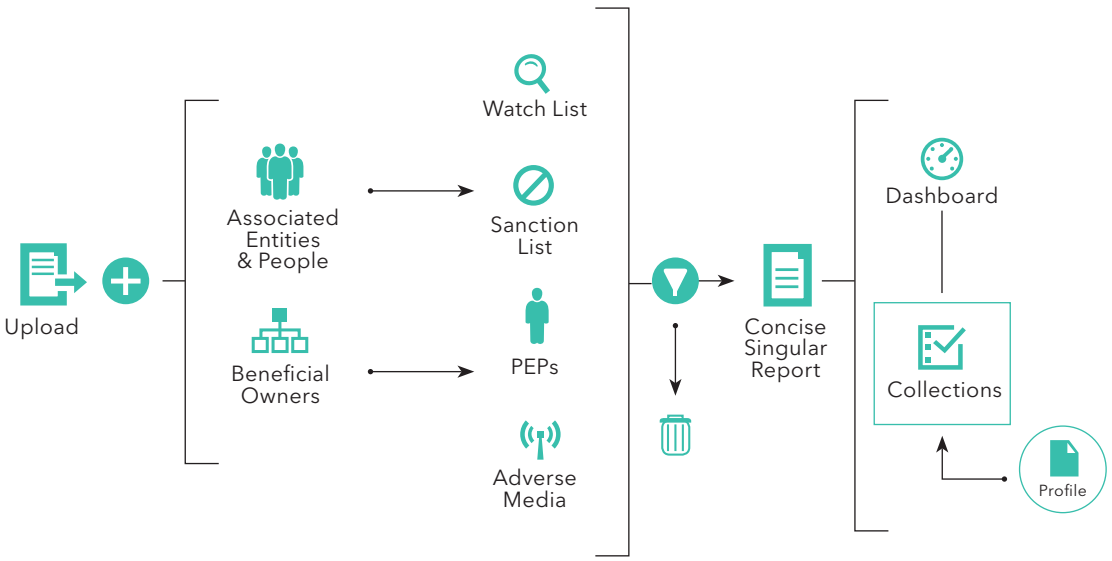
- Includes senior officeholders and their relatives and close associates
- Full identification of current and past political history

Sanctions & watch lists

- 550+ separate lists
- Notification of sanctions, law enforcement and regulatory fines at both entity and individual level

Adverse media

- Public and proprietary database sources
- Filter based on criminal activity, severity, maturity and location



Bloomberg

Holistic approach to entity management

Use Bloomberg's Entity Exchange to conduct secure, efficient outreach to clients. Manage and share client data and documents, then leverage Entity Verification to verify, refresh and monitor this information. Expand your data collection and knowledge with Entity Intelligence. Uncover ultimate beneficial ownership, associated entities and C-level executives while screening for PEPS, sanctions and adverse media.

Entity exchange

- Entity information management platform
- Policy agnostic
- Accelerate onboarding process
- Control over sensitive information
- Transparency into progress and request fulfillment

Entity verification

- Verify basic entity reference data
- Fill out incomplete customer profiles
- Annual maintenance
- Highlight changes in information or status
- Synchronize with internal client databases

Entity intelligence

- Ultimate beneficial owners
- PEPs
- Government officials
- Sanctions
- Watch lists
- Adverse media
- Monitoring
- Audit trail
- Detailed reporting
- Team workflows
- Aggregated results

Learn more

Learn more about our solution for efficiently establishing new trading relationships. Visit bloomberglp.com or reach us at bloomberg.net.

Take the next step.

For additional information, press the <HELP> key twice on the Bloomberg Terminal®.

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