

Entity Exchange

Client outreach — a flexible & efficient way to manage regulatory projects.

Client outreach doesn't have to be a burden

New regulations, audits and unexpected client data requirements can destroy budgets and increase operational costs. Firms are forced to either reallocate or turn to expensive external resources to obtain the required information. Bloomberg provides the right technology that can fit any client outreach need.

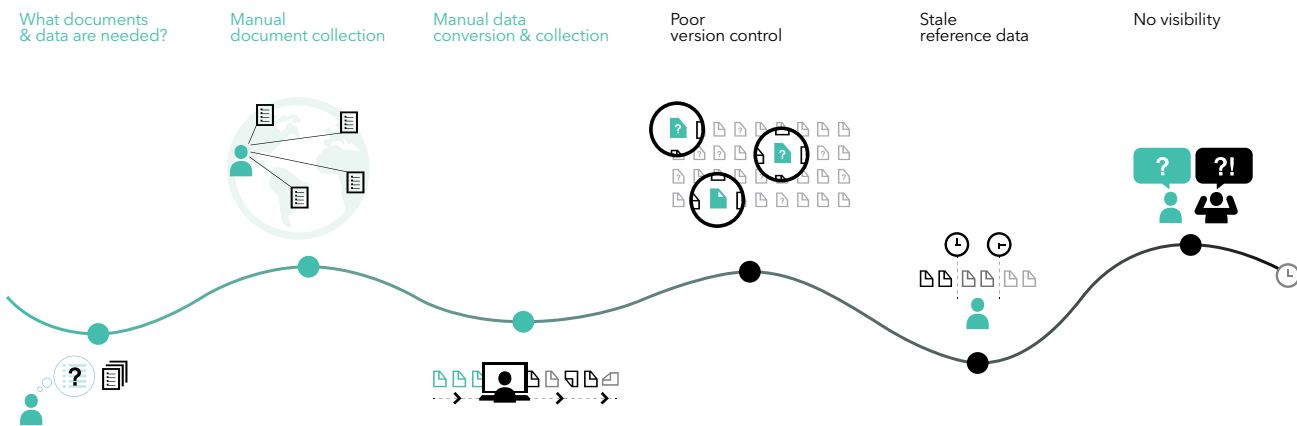
Entity Exchange is a policy agnostic platform that can be leveraged to conduct outreach to clients and alleviate the strain of client data collection. Incorporating Entity Exchange into the workflow can reduce costs associated with reliance on external resources and improve the security of communications. Projects can be completed swiftly, and the technology alleviates client fatigue from similar requests coming from multiple institutions.

Traditional methods of exchanging data and documents by email or post may fall short both in terms of operational strain and security. Implementing technology to assist with the process can help with workflows, version control, audit trails and stakeholder management. Seeking technology driven solutions to conduct client outreach, collect, organize and store information will be the key to handling the increasing demand on client entity data.

Diverse project set

- Annual KYC refresh
- Entity data maintenance
- Collection of certifications and data points associated with key regulations brought about by geo-political changes, enhanced investor protection, anti-money laundering and transparency requirements.

The problem



Turning unexpected costs into a competitive advantage

In the last 10 years there has been an exponential growth in client data now required to comply with global regulatory demands. A large extent of this data can only be obtained from direct communication with your clients. One-time communication with a client in order to obtain these data points could result in reference data becoming stale. Firms need to plan for either periodic client communication or move their process to a real-time data model. Entity Exchange provides a centralised, secure repository to enable trading counterparties to manage and share data and documents with confidence.

Our purpose built solution is flexible, innovative and designed to enable clients to comply with evolving regulations efficiently.

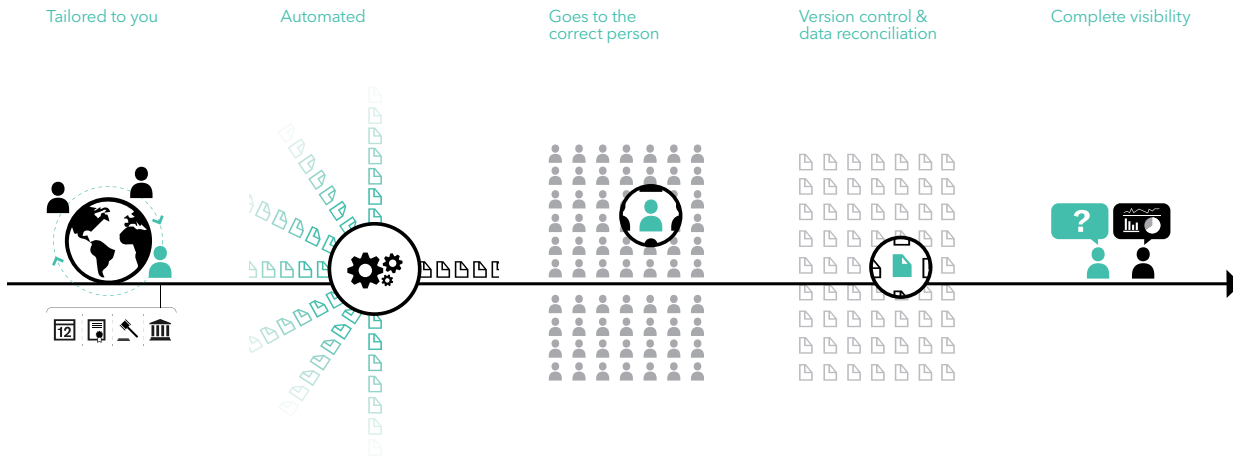
Incorporating Entity Exchange into the workflow can reduce costs associated with reliance on external resources. The connection firms create with clients over Entity Exchange will continue to pay dividends as future outreach becomes even easier and key reference data attributes are delivered direct from source on an ongoing basis.

Entity Exchange delivers a full audit trail, issue management capabilities and dashboards to enable project management across your entire client outreach process.

Key efficiency drivers

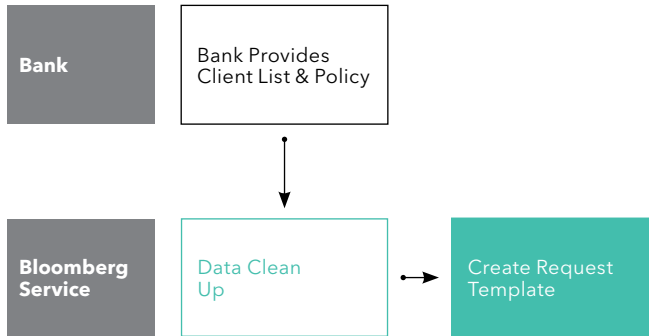
- Allows firms to communicate quickly and effectively with their clients
- Delivers and maintains reference data direct from the source
- Flexible, secure and transparent communication
- Web-based encrypted transfer of sensitive information
- Audit trail to demonstrate regulatory compliance

The solution

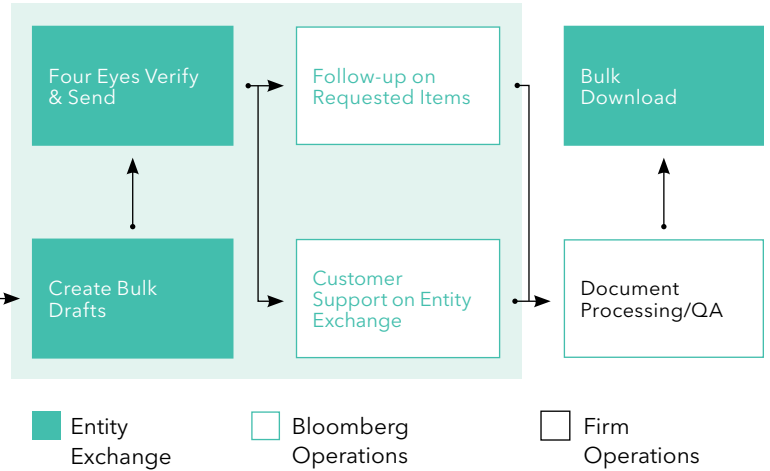


A simplified workflow to drive more efficiency around client outreach

Identify Project



Project Dashboard



Data clean up

Bloomberg de-duplication and review of client details.

Templates & request creation

Implementation of firm policy in re-usable templates and questionnaires.

Client outreach

Bulk outgoing requests via Entity Exchange to clients with follow-up by Bloomberg Client Experience team to ensure smooth implementation of technology and follow-up support.

Quality assurance

A process by Bloomberg to ensure correct documents are returned, bulk download delivery to the Firm.

Workflow & audit trail

Bloomberg data model, workflow and reporting, ensures future outreach automation and efficiencies.

Learn more

Learn more about how we can help your firm make routine processes more efficient. Visit ee.bloomberg.com or reach us at eprise@bloomberg.net.

Take the next step.

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