

Compliance made simple with a single solution.

THE COMPLICATED LANDSCAPE OF COMPLIANCE & RISK

In an environment of more stringent regulatory requirements, financial services firms have had to become more rigorous in identifying counterparties and applying heightened scrutiny to their risk management and compliance efforts. Bloomberg offers the data required to keep your firm compliant and manage risk with intelligence.

With professional and personal liability on the line, risk management is more essential than ever. Counterparty risk management, requires detailed data about the company's counterparties, including classification by industry, identification of geographic risk and an understanding of corporate hierarchies and obligors. Firms need access to robust, reliable legal entity data that can be easily integrated into diverse workflows.

As compliance requirements become more complex, so does the need to capture and link disparate datasets through their workflow, and pull in other reference data depending on the specific application and use case. A key aspect of this workflow is to understand who your counterparties really are and screen them against sanctions and watch lists. Know Your Customer (KYC) requirements are in place to ensure that firms are not inadvertently supporting illegal activities such as money laundering, bribery, or financing terrorism.

PRE-TRADE, CONCENTRATION RISK, KYC & REPORTING — IT'S ALL HERE

Bloomberg possess the data needed for financial firms' complex needs. From public and private companies and funds, to municipalities, public agencies, and sovereign governments — we have robust data for over 4 million legal entities. With its high quality coverage of corporate hierarchies, our legal entity data leads the market.

Whether it is entity descriptive data, regulatory classifications or sanction data, Bloomberg can address your needs and provide datasets that are clean, organized and accurate.

KEY FEATURES

Firms can improve the quality and efficiency of their compliance and risk management processes without making a major investment in data acquisition and maintenance. Bloomberg stands apart from competitive tools because it is:

- **Accurate** – Bloomberg entity analysts leverage their knowledge, relationships and technology to ensure we consistently offer the most relevant and accurate entity dataset available in the marketplace
- **Extensive** – Comprehensive coverage includes entities across the entire world. New datasets and legal entities are added regularly as market needs evolve
- **Connected** – Linked by a common symbology, datasets can be used consistently in all compliance and risk management related tasks. With the same data fueling accounting, portfolio management and compliance systems, decision makers can work from a single, coherent version of reality

Features	Corporate Structure	Compliance
Trustworthy data for over 4 million entities	X	X
Links to security master data through Bloomberg IDs	X	X
Company hierarchy data (parent and ultimate parent company)	X	X
Obligor data	X	X
Geographical exposure (country of risk, incorporation & domicile)	X	X
Legal Entity Identifier (LEI) fields	X	X
Additional research in companies' corporate hierarchy		X
Registrant data (registration ID, tax ID, regulator name)		X
Entity descriptive data (sales, total assets, country of largest revenue)		X
Regulatory classifications (NACE, NAICS)		X
Regulatory fields (EMIR classification, FATCA GIIN)		X
Sanction data on an entity level (10 jurisdictions)		X
List of sanctioned securities in the Bloomberg database		X

ABOUT BLOOMBERG

Bloomberg, the global business and financial information and news leader, gives influential decision makers a critical edge by connecting them to a dynamic network of information, people and ideas. The company's strength — delivering data, news and analytics through innovative technology, quickly and accurately — is at the core of the Bloomberg Professional® service. Bloomberg's enterprise solutions build on the company's core strength — leveraging technology to allow customers to access, integrate, distribute and manage data and information across organizations more efficiently and effectively.

TAKE THE NEXT STEP

To learn more about Bloomberg's Compliance or Corporate Structure datasets, email epprise@bloomberg.net or visit bloomberg.com/professional.

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