

MARKET NOTICE

Ref: MN/18/01

Issue Date: 10 January 2018

Subject: Participant Data in ENFB

The purpose of this Notice is to remind BMTF Participants of their responsibility to ensure Participant data held in ENFB is up to date.

This includes but is not limited to the following:

BMTF Admin

Please ensure that your BMTF Admin details are correct. The primary BMTF Admin may appoint additional BMTF Admins. However if you would like to amend the primary BMTF Admin, please complete a new Initial Administrator Authorisation form. This form can be requested from your Client Services or Global Markets representative.

BMTF Reporting Admin

BMTF Admins may select a BMTF Reporting Admin in ENFB. This allows relevant data for transaction reporting to be added by the BMTF Reporting Admin when the BMTF Admin is unavailable.

Static Data

Please ensure that the required static data in ENFB is available and correct for transaction reporting purposes. This includes personally identifiable information (PII) for all Authorised Traders added to Bloomberg's MiFID II Directory store. Generic users and pricing engines should not be added as authorised traders.

Branches

BMTF Admins must add all relevant ISO 3166-1 alpha-2 country codes into the MiFID II Reporting tab in ENFB for each country from which the Participant trades out of a branch. This is separate to any requirement to sign jurisdiction-specific User Acknowledgements. Please note that confirmation via email is not sufficient.

MiFIR Status

The BMTF Admin should ensure that a Participant's "MiFIR firm" status is correctly reflected under the MiFID II Reporting tab in ENFB. It is your responsibility to seek independent legal advice if you are unsure of your status. If you change your status, please email BMTF Compliance to clarify why this has



changed and to confirm how you will remediate any transaction reporting issues which may have occurred prior to this change.

For further information, please see the attached BMTF Onboarding Guide which is also available on the terminal on BMTF <GO>.

If you have any questions please contact the following:

- BMTF Onboarding desk on btfonboard@bloomberg.net or call +44-20-3525-3020
- BMTF Compliance on mtfcomp@bloomberg.net or call +44-20-3525-7199