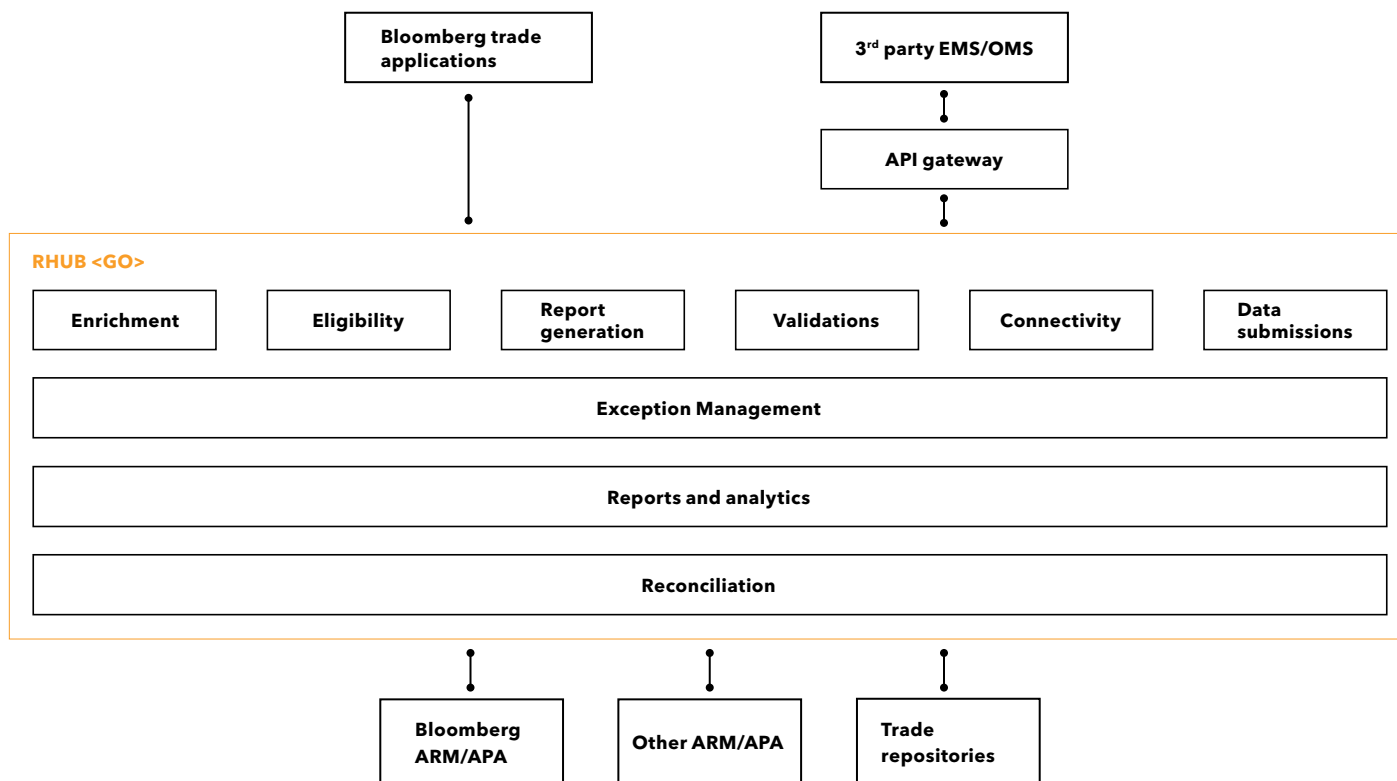


End-to-end regulatory reporting

Regulatory Reporting Hub (RHUB)

To help our clients meet new and evolving trade and transaction reporting requirements, Bloomberg offers RHUB, an award-winning one-stop reporting solution. Covering all reporting needs, from eligibility to reconciliation, RHUB simplifies reporting and delivers robust controls to help you manage regulatory requirements.

A complete reporting workflow



Key benefits

Global solutions

Currently covering MiFID II and SFTR, RHUB is being extended in 2020 to facilitate compliance with EMIR, ASIC, MAS, CFTC and HKMA reporting requirements.

Connectivity

RHUB allows for submission to all leading regulated reporting venues, and national competent authorities where applicable.

Bloomberg service

Our industry-leading customer service teams, available 24/7 in 160 countries, help users realise the full benefit of the deep information, analytics, data and trading capabilities on the Bloomberg Terminal service.

In-built controls

RHUB clients benefit from the integration of the RegTek Assurance controls to monitor the quality, completeness and accuracy of reporting.

Features

Data enrichment

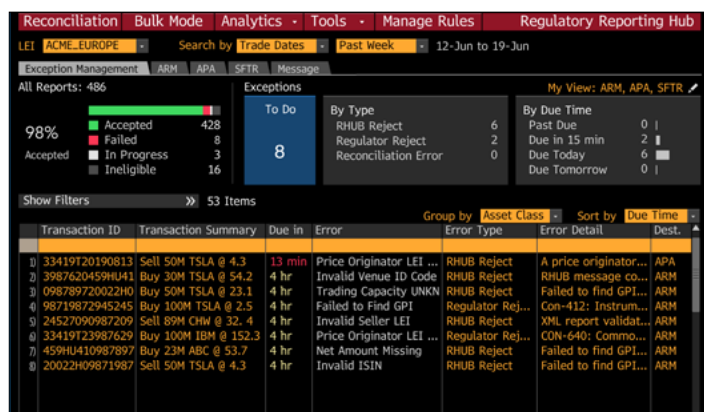
Clients send complete, fully formatted files, or partial files, to RHUB which will enrich them with Bloomberg's industry-leading security master data.

Transaction reporting state status

Reports considered to be ineligible, invalid or incomplete will be flagged in RHUB for users to address accordingly. Similarly, reporting venues statuses (i.e., acceptances and rejections – with error codes) will also be displayed in RHUB.

Reporting quality controls

RHUB's advanced rules engine assesses the eligibility and quality of all transactions via regulatory rules, plus enhanced reporting quality and custom client rules, allowing for flexibility with internal compliance and tolerance policies. RHUB's controls assist in identifying errors before they are sent to the reporting venue.



Transaction ID	Transaction Summary	Due In	Error	Error Type	Error Detail
1	33419120190813 Sell 50M TSLA @ 4.3	13 min	Price Originator LEI ...	RHUB Reject	A price originator...
2	3987620459H141 Buy 30M TSLA @ 54.2	4 hr	Invalid Venue ID Code	RHUB Reject	RHUB message co...
3	09878972002240 Buy 50M TSLA @ 23.1	4 hr	Trading Capacity UNKN	RHUB Reject	Failed to find GPI...
4	98719872945245 Buy 100M TSLA @ 2.5	4 hr	Failed to Find GPI	Regulator Rej...	Con-412: Instrum...
5	24527090987209 Sell 89M CHW @ 32.4	4 hr	Invalid Seller LEI	RHUB Reject	XML report validat...
6	33419123987629 Buy 100M IBM @ 152.3	4 hr	Price Originator LEI ...	Regulator Rej...	CON-640: Commo...
7	459HU410987897 Buy 23M ABC @ 53.7	4 hr	Net Amount Missing	RHUB Reject	Failed to find GPI...
8	20022409871987 Sell 50M TSLA @ 4.3	4 hr	Invalid ISIN	RHUB Reject	Failed to find GPI...

Manage exceptions with ease

- Exception management tools provide suggested solutions or users can customise and add bespoke solutions for any exceptions that can be shared firm-wide.
- Enhanced audit trail provides on the full transparency of the logic decision tree, with ability to manage exceptions directly from the user interface.
- Manually edit selected data fields directly from the user interface.
- Stay ahead of key events through the Alerts feature.

Analytics

Analyse trends through template or custom reports providing analytics on reporting timeliness, exceptions, accuracy and completeness. Replay functionality, audit trail, dashboard and reports available for up to 5 years.

Testing support

Fully synced beta environment available for testing existing and new workflows, including connectivity with most reporting venues.

Two-way and three-way reconciliation

RHUB provides regulatory reconciliations with maintained integrations to leading reporting venues. Upload any external data with easy-to-use tools directly from the user interface.

Reporting Venue Connectivity

RHUB allows for submission to all leading regulated reporting venues, and national competent authorities where applicable.

Flexible integration

RHUB is fully integrated with Bloomberg order management systems, execution and confirmation services, and is also accessible via a standard API gateway.

Take the next step.

For additional information, press the <HELP> key twice on the Bloomberg Terminal®.

Beijing
+86 10 6649 7500
Dubai
+971 4 364 1000
Frankfurt
+49 69 9204 1210

Hong Kong
+852 2977 6000
London
+44 20 7330 7500
Mumbai
+91 22 6120 3600

New York
+1 212 318 2000
San Francisco
+1 415 912 2960
São Paulo
+55 11 2395 9000

Singapore
+65 6212 1000
Sydney
+61 2 9777 8600
Tokyo
+81 3 4565 8900

bloomberg.com/professional

You are responsible for your use of the RHUB services and for assessing whether the RHUB services help you to meet any regulatory obligations. The BLOOMBERG TERMINAL service and Bloomberg data products (the "Services") are owned and distributed by Bloomberg Finance L.P. ("BFLP") except (i) in Argentina, Australia and certain jurisdictions in the Pacific Islands, Bermuda, China, India, Japan, Korea and New Zealand, where Bloomberg L.P. and its subsidiaries ("BLP") distribute these products, and (ii) in Singapore and the jurisdictions serviced by Bloomberg's Singapore office, where a subsidiary of BFLP distributes these products. BLP provides BFLP and its subsidiaries with global marketing and operational support and service. Certain features, functions, products and services are available only to sophisticated investors and only where permitted. BFLP, BLP and their affiliates do not guarantee the accuracy of prices or other information in the Services. Nothing in the Services shall constitute or be construed as an offering of financial instruments by BFLP, BLP or their affiliates, or as investment advice or recommendations by BFLP, BLP or their affiliates of an investment strategy or whether or not to "buy", "sell" or "hold" an investment. Information available via the Services should not be considered as information sufficient upon which to base an investment decision. The following are trademarks and service marks of BFLP, a Delaware limited partnership, or its subsidiaries: BLOOMBERG, BLOOMBERG ANYWHERE, BLOOMBERG MARKETS, BLOOMBERG NEWS, BLOOMBERG PROFESSIONAL, BLOOMBERG TERMINAL and BLOOMBERG.COM. Absence of any trademark or service mark from this list does not waive Bloomberg's intellectual property rights in that name, mark or logo. All rights reserved. © 2020 Bloomberg 675438 DIG 0120