

# Security ownership data.

Create an efficient source for client intelligence and research analysis.

## Companies need a strong data source

A company's 13F filings – filed by institutional investment managers of equity, equity-like securities and equity options – can contain valuable information about the behavior of asset managers and what's attracting smart investment.

Security ownership data can offer much more than that – on trading floors, ownership data is the key to client intelligence, driving sales traders' workflow. Ownership data also improves risk management and portfolio composition as well as providing essential insights for investment management discretion. Most firms, however, are currently getting security ownership data sourced from myriad misaligned sources, making data ingestion and normalization inefficient and resource-intensive.

## Bloomberg's security ownership data gives you an advantage

Bloomberg's products help ease ingestion and enable efficient alignment with existing data services. Information on shareholder and debt-holder security ownership contains transaction and position data from unique fund portfolios, institutional investors and insiders from 179 countries across more than 500,000 instruments globally. Identifiers align and enable the aggregation of ownership data for holders and holdings, providing unrivalled integration for myriad workflows – from client intelligence to research analysis.

## Benefits for your firm

Bloomberg provides source-specific ownership content, aligns reporting periods and enables time series analysis of ownership changes. Identifier enrichment for holders and holdings eases alignment with existing data services and reduces integration timeframes.

The following datasets form the backbone of Bloomberg's Security Ownership Data offering, defined by the source of the data content:

## U.S. ownership filings

### • 13F Holders & Holdings

Filed by investment managers and investors with the SEC, Bloomberg's data solution includes ongoing and historical ownership information from 2006 onward. The securities defined in the solution include U.S.-listed equity, equity-like securities and equity options.

### • 13D Beneficial Ownership

Filed within 10 days by anyone who acquires beneficial ownership of more than 5% of a publicly traded company in the U.S. Includes the "purpose of transaction," which provides intent of the purchaser, e.g., activist investing.

### • U.S. Insiders

Insiders and major shareholders are required to report transactions in affiliated companies via Forms 3, 4 & 5 filed with the SEC. Bloomberg's solution provides hourly updates, thus ensuring insider activity is timely and actionable.

## UK ownership registry

Bloomberg provides complete share registry data for listed companies in the United Kingdom. Beneficial ownership information via nominee accounts provides essential intelligence required to understand investment trends through share ownership in the UK.

## Fund portfolio holdings

Bloomberg collects unique fund portfolios from asset managers across the globe. The frequency and delay with which holdings are updated can vary, as each fund company decides how often it chooses to provide this information. With representation from more than 100,000 funds, institutional portfolio ownership data of all asset classes delivers detailed and accurate information on fund investments and portfolio manager behavior.

## Learn more

For more information about how Bloomberg's security ownership data can be a valuable data source to create a more efficient workplace, visit [bloomberg.com/professional](http://bloomberg.com/professional) or contact [eprise@bloomberg.net](mailto:eprise@bloomberg.net).

---

### Take the next step.

For additional information, press the <HELP> key twice on the Bloomberg Terminal®.

**Beijing**  
+86 10 6649 7500  
**Dubai**  
+971 4 364 1000  
**Frankfurt**  
+49 69 9204 1210

**Hong Kong**  
+852 2977 6000  
**London**  
+44 20 7330 7500  
**Mumbai**  
+91 22 6120 3600

**New York**  
+1 212 318 2000  
**San Francisco**  
+1 415 912 2960  
**São Paulo**  
+55 11 2395 9000

**Singapore**  
+65 6212 1000  
**Sydney**  
+61 2 9777 8600  
**Tokyo**  
+81 3 3201 8900