Trade Archiving: compliance, record-keeping and search for all trade data.

**RECORD-KEEPING RULES ARE EXPANDING**
Financial services firms are finding it increasingly challenging to preserve many of their electronic books and records—including orders, trade tickets and confirmations, and blotters—in regulatory required WORM (Write Once Read Many) format. Trade Archiving has therefore become more critical due to the heightened regulatory focus on safeguarding the relevant books and records for regulated firms.

**SATISFY TRADE ARCHIVING REQUIREMENTS**
The Bloomberg Vault Trade Archive solution enables firms to safely and securely store trade records sourced from trade execution and order management systems, internal and external to Bloomberg. Trades are consolidated from a variety of data sources and stored in a holistic platform for regulatory record-keeping and compliance. The web based application can be used to intuitively search, review and retrieve archived records for reporting or discovery. Retention management and simple self-service extraction capabilities are available to application end users.
NATIVE INTEGRATION FOR TRADING SYSTEMS
Natively integrate with various Bloomberg order management and trading systems, including TOMS and AIM, which covers the increasingly regulated buy- and sell-side fixed income market. As record-keeping regulations continue to expand across different product types within the market, customers can utilize the Trade Archive as a normalized storage and search platform for satisfying compliance requirements and providing flexible retrieval of trade records across the trade lifecycle.

Bloomberg data integration tools and standardized ingestion workflows can consume data into a structured trade format, regardless of the initial source of records. Firms can now satisfy immediate compliance needs with limited technical configuration and disruption.

SECURE, COMPLIANT STORAGE
The solution utilizes highly secure tamper proof write-once-read-many (WORM) data storage technology to ensure our customers conform to predefined regulatory record-keeping policies such as SEC 17a-3 and 17a-4. The hardware discs are intentionally not rewritable, because they are especially intended to store data that cannot be erased accidentally or without purpose. This gives a guarantee that the data stored within the archive maintains its integrity for regulatory and control obligations. Data-at-rest encryption provides an extra level of security that the market demands.

Bloomberg Vault can attest to regulators that firms comply with the record-keeping mandates of the SEC, FINRA, CFTC and ESMA.

INTUITIVE SEARCH & DATA CORRELATION
A normalized trade data archive delivers value to various business teams, offering readily available access to information that previously may have resided in various, disparate platforms. End users of the application can retrieve trade data at an enterprise level with a priority on performance and high availability. Firms can complement the Trade Archive with other Bloomberg Vault products for supporting compliance and analytics across unstructured content, such as files and documents or communication data in the categories of email, social media, instant messaging and voice calls. Especially considering trade reconstruction requirements that fall underneath the Dodd Frank and MiFID II umbrella, firms can correlate all communication data to trades within the archive.

BLOOMBERG FOR ENTERPRISE
To succeed today, financial institutions must respond to challenges that are not addressed by traditional approaches. They require world-class solutions that integrate people, processes, information and technology for the front office, middle office and operations. Bloomberg partners with these institutions to protect and capitalize on data, manage risk, deliver transparency and control costs. Through enterprise-level expertise and three decades of deep industry experience, Bloomberg creates real value through the use of innovative technology that turns data into a strategic asset.

TAKE THE NEXT STEP
Bloomberg Vault technology can help your firm to more proactively manage risk associated with compliance, eDiscovery and regulatory challenges. Contact us at +1 212 617 6580, vaultsales@bloomberg.net or call your regional representative to schedule a personalized demonstration.